

OPY OF PAPERS ORIGINALLY FILED

PATENT

Case Docket No. GTSYS.004A

I hereby certify that this correspondence and all marked

attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed

to: United States Patent and Trademark Office, P.O. 2327,

Arlington, VA 22202, on

Date: July 23, 2002 Page 1

In re application of

Felgner, et al.

App. No.

09/738,046

Filed

December 15, 2000

For

INTRACELLULAR PROTEIN

DELIVERY COMPOSITIONS

AND METHODS OF USE

Examiner

Unknown

Art Unit

2651

BOX SEQUENCE

United States Patent and Trademark Office

PO Box 2327

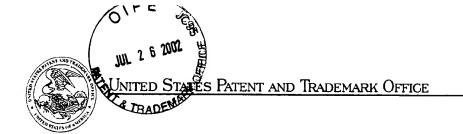
Arlington, VA 22202

Sir:

Transmitted herewith is the following in response to the Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures mailed to us by the USPTO June 7, 2002 in connection with the above-identified application.

- (X) A copy of the Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures mailed to us by the USPTO June 7, 2002.
- (X) A Sequence Submission Statement in two (2) pages.
- (X) A print-out of a Sequence Listing in one (1) page.
- (X) A Sequence Listing in computer readable form.
- (X) Return prepaid postcard.
- (X) The present application qualifies for small entity status under 37 C.F.R. § 1.27.
- (X) Please charge any additional fees, including any fees for additional extension of time, or credit overpayment to Deposit Account No. 11-1410.

Registration No. 29,655 Attorney of Record



COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023I
www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/738,046

12/15/2000

Philip L. Felgner

GTSYS.004A

20995 KNOBBE MARTENS OLSON & BEAR LLP 620 NEWPORT CENTER DRIVE SIXTEENTH FLOOR NEWPORT BEACH, CA 92660

COPY OF PAPERS ORIGINALLY FILED CONFIRMATION NO. 2320
FORMALITIES LETTER
OC000000008254147

Date Mailed: 06/07/2002

NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- This application does not contain a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). Applicant must provide such statement. If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).
- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of
 the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as
 indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a
 substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content
 of the sequence listing information recorded in computer readable form is identical to the written (on paper
 or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR
 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

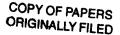
For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase Patentin Software, call (703) 306-2600
- For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

A copy of this notice <u>MUST</u> be returned with the reply.

Jine 112

Customer Service Center Initial Patent Examination Division (703) 308-1202 PART 2 - COPY TO BE RETURNED WITH RESPONSE



GTSYS.004A OF E JC95

PATENT

ESUNITED STATES PATENT AND TRADEMARK OFFICE

Applicant	:	Felgner, et al.)	
Appl. No.	:	09/738,046	, a	I hereby certify that this correspondence and all marked attachments are being
Filed	:	December 15, 2000) S	eposited with the United States Postal ervice as first-class mail in an envelope ddressed to: Assistant Commissioner
For	:	INTRACELLULAR PROTEIN DELIVERY COMPOSITIONS AND METHODS OF USE) -	for Patents, Washington, D.C. 20231, on July 23, 2002 (Date)
Examiner	:	Unknown	,	ded Avisraelsen, Reg. No. 29,655

SEQUENCE SUBMISSION STATEMENT

BOX SEQUENCE United States Patent and Trademark Office PO Box 2327 Arlington, VA 22202

2651

Dear Sir:

Art Unit

This is in response to the Notice to Comply with Requirements for Patent Applications containing Nucleotide Sequence Disclosures mailed June 7, 2002. I hereby state that the amendments, made in accordance with 37 C.F.R. §1.825(a), which are included in the Substitute Sequence Listing submitted herewith, are supported in the application as filed. Furthermore, I hereby state that the Substitute Sequence Listing does not include new matter.

I hereby state that the enclosed substitute copy of the computer readable form, submitted in accordance with 37 C.F.R. §1.825(b), is the same as the printed copy of the Substitute

Appl. No.

:

9/738,04

Filed: December 15, 2000

Sequence Listing attached herewith.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: 7 - 23 - 02

By:

Ned A. Israelson

Registration No. 29,655

Attorney of Record

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